



Internal Revenue Code Section 415(c) Aggregation Form

SECTION 1: PERSONAL INFORMATION (Please print. Fill in all blanks; enter "N/A" if not applicable)

Employee ID, Last Name, First Name, M.I., Home Mailing Address, City, State, Zip, Work Phone Number, Home Phone Number, Email

SECTION 2: Answer ALL of the following questions

- Yes No Are you eligible to participate in the Vanderbilt University 403(b) Retirement Plan?
Yes No Do you own controlling interest (over 50%) in a for-profit business, including sole proprietorship and/or consulting?
Yes No Do you make contributions to a qualified retirement plan [401(k), 401(a) and 403(b) plans, or simplified employee pensions (SEPs) and Keogh plans] through that business?

If you answered "Yes" to all three questions, complete questions 1, 2 and 3 below, then sign, date and submit the form.

SECTION 3: Please answer the following about your outside business activities in 2015 (do not include Vanderbilt contributions or compensation)

Table with 2 columns: Question and Answer. Contains 3 questions about qualified plans, contributions, and compensation.

SECTION 4: Acknowledgment

I understand that if I do not accurately report the information requested, there may be severe tax consequences of noncompliance for myself and Vanderbilt University, and may include civil and criminal penalties. I hereby certify that the above information is accurate to the best of my knowledge.

I also certify that if any outside contributions change during the year, I will complete a new form to report this amended amount.

Employee Signature, Date

For more information about 415(c)(7) aggregation please visit the IRS website.

Please complete and return signed form via email to the Office of Benefits Administration at benefits@vanderbilt.edu.